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Serial No. 10/700,406  
Application of: Matt Morano, et al.  
Filed: November 4, 2003  
Art Unit: 3621  
Examiner: Not Yet Assigned  
Attorney Docket No. 99786.0007  
For: **DISTRIBUTED TRADING BUS ARCHITECTURE**  
Confirmation No.: 5734  
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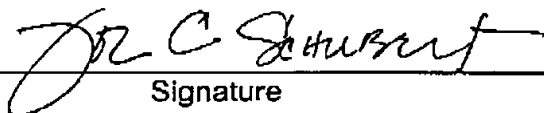
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Client Matter No.: 99786.0007

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VIA FACSIMILE  
Attorney Docket No. NYMX0002  
Client/Matter No. 99786.0007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Matt Morano, et al.  
Serial No. 10/700,406  
Filed: November 4, 2003  
For: DISTRIBUTED TRADING BUS  
ARCHITECTURE

Group Art Unit: 3621  
Examiner: [Not Yet Assigned]  
Confirmation No.:

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Sir:

Applicant hereby submits for filing under 37 CFR 1.97 a disclosure statement. In submitting these references, no representation is made or implied that the references are or are not material to the examination of this application. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO/SB/08A submitted herewith and copies of all such patents and publications are attached hereto.

No fee is believed due for this submittal. However, any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

Respectfully submitted,

12/2/04  
\_\_\_\_\_  
Date

  
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	10/700,406
				Filing Date	November 4, 2003
				First Named Inventor	Matt Morano, et al.
				Art Unit	3621
				Examiner Name	[Not Yet Assigned]
Sheet	1	of	1	Attorney Docket No.	NYMX0002

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Document No. No. – Kind Code <sup>2</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	US-20040210514	10/21/2004	Kemp		
	2	US-20040153394	08/05/2004	West		
	3	US-20040153393	08/05/2004	West		
	4	US-20040153392	08/05/2004	West		
	5	US-20040153391	08/05/2004	Burns		
	6	US-20040148242	07/29/2004	Liu		
	7	US-20040103054	05/27/2004	Singer		
	8	US-20040093300	05/13/2004	Burns		
	9	US-20040084395	04/01/2004	Mintz		
	10	US-20040049738	03/11/2004	Thompson		
	11	US-20030236737	12/25/2003	Kemp		
	12	US-20030200167	10/23/2003	Kemp		
	13	US-20030023542	01/30/2003	Kemp		
	14	US-20030009419	01/09/2003	Chavez		
	15	US-20020070915	06/13/2002	Mazza		
FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup>				

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